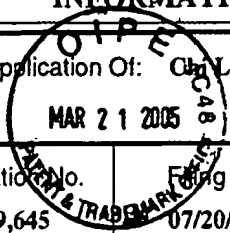


2153

<b>STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING INFORMATION DISCLOSURE STATEMENT</b>	Docket No. <b>APP 1236</b>
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In Re Application Of: **Chi Leung Lau et al**



Application No. <b>09/909,645</b>	Filing Date <b>07/20/2001</b>	Examiner <b>Nash, Lashanya Renee</b>	Customer No. <b>09941</b>	Group Art Unit <b>2153</b>	Confirmation No. <b>1839</b>
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Invention: **IP Flow Discovery For IP Probe Auto Configuration and SLA Monitoring**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

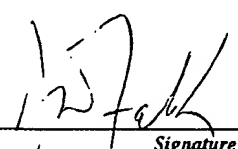
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

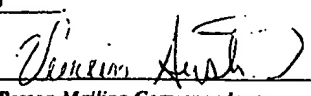
  
Signature

Dated: **March 15, 2005**

**James W. Falk (Reg. No. 16154)**  
**Telcordia Technologies, Inc.**  
**One Telcordia Drive G5116**  
**Piscataway, NJ 08854-4157**

**Tel: (732) 699-4465**

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Signature of Person Mailing Correspondence <b>Vivian Austin</b>	
Typed or Printed Name of Person Mailing Correspondence	

cc:

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. <b>APP 1236</b>	
In Re Application Of: <b>Chi Lung Lau et al</b> <div style="position: absolute; top: 0; left: 0; width: 100px; height: 100px; border: 1px solid black; border-radius: 50%; text-align: center; line-height: 100px; font-size: 10px;">             OIP              MAR 21 2005              PATENT &amp; TRADEMARK OFFICE           </div>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/909,645	07/20/2001	Nash, Lashanya Renee	09941	2153	1839
Title: <b>IP Flow Discovery for IP Probe Auto-Configuration and SLA Monitoring</b>					
<div style="text-align: center;"> <p>Address to:</p> <p><b>Commissioner for Patents</b>  <b>P.O. Box 1450</b>  <b>Alexandria, VA 22313-1450</b></p> </div> <div style="text-align: center; margin-top: 10px;"> <b>37 CFR 1.97(b)</b> </div> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <b>37 CFR 1.97(c)</b> </div> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div> <div style="text-align: center; margin-top: 10px;"> <b>OR</b> </div> <div style="margin-left: 40px; margin-top: 10px;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>					

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
APP 1236

In Re Application: Chi Leung Lau et al

MAR 21 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/909,645	07/20/2001	Nash, Lashanya Renee	09941	2153	1839

Title: IP Flow Discovery for IP Probe Auto-Configuration and SLA Monitoring

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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March 16, 2005

(Date) \_\_\_\_\_

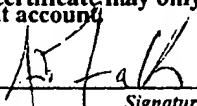
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Vivian Austin

Typed or Printed Name of Person Mailing Certificate \_\_\_\_\_

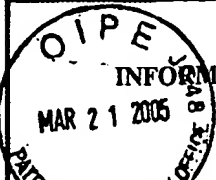
\*This certificate may only be used if paying by deposit account

Dated: 03/15/2005

  
James W. Falk (Reg. No. 16154)  
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Piscataway, NJ 08854-4157

Tel: (732) 699-4465

cc:

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	Docket Number (Optional) <b>APP 1236</b>	Application Number <b>09/909,645</b>
	Applicant(s) <b>Chi Leung Lau et al</b>	
	Filing Date <b>07/20/2001</b>	Group Art Unit <b>2153</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

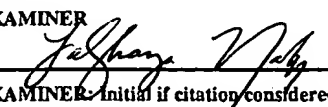
**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
<i>JN</i>	AA	EP 1 039 687 A2	09/27/00	Europe	H04L	12/14	✓	
<i>JN</i>	AB	WO 93/26111	12/23/93	WIPO			✓	
<i>JN</i>	AC	WO 01/37493 A	05/25/01	WIPO			✓	
<i>JN</i>	AD	WO 01/22686 A	03/26/01	WIPO			✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER 	DATE CONSIDERED <b>5/22/2005</b>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	